



D. R. Share & Stock Brokers Pvt. Ltd.

Regd. Office: 6277, First Floor, Nicholson Road, Ambala Cantt – 133001
Ph.: 0171-4006001, 4006002, 2642936, 2643935, 2644935 FAX: 0171-4006001

RIGHTS AND RESPONSIBILITIES OF INVESTORS

Sr. no.	Rights of Investors
1.	Receive a copy of KYC, copy of account opening documents.
2.	No minimum balance is required to be maintained in a demat account.
3.	No charges are payable for opening of demat accounts.
4.	If executed, receive a copy of Power of Attorney. However, Power of Attorney is not a mandatory requirement as per SEBI / Stock Exchanges. You have the right to revoke any authorization given at any time.
5.	You can open more than one demat account in the same name with single DP/ multiple DPs.
6.	Receive statement of accounts periodically. In case of any discrepancies in statements, take up the same with the DP immediately. If the DP does not respond, take up the matter with the Depositories. system
7.	Pledge and /or any other interest or encumbrance can be created on demat holdings.
8.	Right to give standing instructions with regard to the crediting of securities in demat account.
9.	Investor can exercise its right to freeze/defreeze his/her demat account or specific securities / specific quantity of securities in the account, maintained with the DP.
10.	In case of any grievances, Investor has right to approach Participant or Depository or SEBI for getting the same resolved within prescribed timelines.
11.	Receive information about charges and fees. Any charges/tariff agreed upon shall not increase unless a notice in writing of not less than thirty days is given to the Investor.
Sr. no.	Responsibilities of Investors
1.	Deal with a SEBI registered DP for opening demat account, KYC and Depository activities.
2.	Provide complete documents for account opening and KYC (Know Your Client). Fill all the required details in Account Opening Form / KYC form in own handwriting and cancel out the blanks.
3.	Read all documents and conditions being agreed before signing the account opening form.
4.	Accept the Delivery Instruction Slip (DIS) book from DP only (preprinted with a serial number along with client ID) and keep it in safe custody and do not sign or issue blank or partially filled DIS.
5.	Always mention the details like ISIN, number of securities accurately.
6.	Inform any change in information linked to demat account and obtain confirmation of updation in the system.
7.	Regularly verify balances and demat statement and reconcile with trades / transactions.
8.	Appoint nominee(s) to facilitate heirs in obtaining the securities in their demat account.
9.	Do not fall prey to fraudsters sending emails and SMSs luring to trade in stocks / securities promising huge profits.

Website: www.drbrokers.in
Member: NSE (TM-ID: 12767)
Member: BSE (TM-ID: 6721)

E-mail: info@drbrokers.in **CIN:** U74991HR2006PTC036165
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